

Vladimir Lenin, 1916

The Discussion on Self-Determination

- Summed Up

Parts 1, 8, 9 and 11

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Issue No. 2 of the *Herald* (*Vorbote* No. 2, April 1916), the Marxist journal of the Zimmerwald Left, published theses for and against the self-determination of nations, signed by the Editorial Board of our Central Organ, *Sotsial-Demokrat*, and by the Editorial Board of the organ of the Polish Social-Democratic opposition, *Gazeta Robotnicza*. Above the reader will find a reprint of the former(A) and a translation of the latter. This is practically the first time that the question has been presented so extensively in the international field: it was raised only in respect of Poland in the discussion carried on in the German Marxist journal *Neue Zeit* twenty years ago, 1895-96, before the London International Socialist Congress of 1896, by Rosa Luxemburg, Karl Kautsky and the Polish "independents" (champions of the independence of Poland, the Polish Socialist Party), who represented three different views. [see *The Rights of Nations to Self-Determination*] Since then, as far as we know, the question of self-determination has been discussed at all systematically only by the Dutch and the Poles. Let us hope that the *Herald* will succeed in promoting the discussion of this question, so urgent today, among the British, Americans, French, Germans and Italians. Official socialism, represented both by direct supporters of "their own" governments, the Plekhanovs, Davids and Co., and the undercover defenders of opportunism, the Kautskyites (among them Axelrod, Martov, Chkheidze and others), has told so many lies on this question that for a long time there will inevitably be efforts, on the one hand, to maintain silence and evade the issue, and, on the other, workers' demands for "direct answers" to these "accursed questions". We shall try to keep our readers informed of the struggle between the trends: among socialists abroad.

This question is of specific importance to us Russian Social-Democrats; the present discussion is a continuation of the one that took place in 1903 and 1913¹⁰²; during the war this question has been the cause of some wavering in the thinking of Party members: it has been made more acute by the trickery of such prominent leaders of the Gvozdyov or chauvinist workers' party as Martov and Chkheidze, in their efforts to evade the substance of the problem. It is essential, therefore, to sum up at least the initial results of the discussion that has been started in the international field.

It will be seen from the theses that our Polish comrades provide us with a direct answer to some of our arguments, for example, on Marxism and Proudhonism. In most cases, however, they do not answer us directly but indirectly, by opposing *their* assertions to ours. Let us examine both their direct and indirect answers.

1. Socialism and the Self-Determination of Nations

We have affirmed that it would be a betrayal of socialism to refuse to implement the self-determination of nations under socialism. We are told in reply that "the right of self-determination is not applicable to a socialist society". The difference is a radical one. Where does it stem from?

"We know," runs our opponents' reasoning, "that socialism will abolish every kind of national oppression since it abolishes the class interests that lead to it.... What has this argument about the *economic* prerequisites for the abolition of national oppression, which are very well known and undisputed, to do with a discussion of *one* of the forms of *political* oppression, namely, the forcible retention of one nation within the state frontiers of another? This is nothing hut an attempt to evade political questions! And subsequent arguments further convince us *that* our judgement is right: "We have no reason to believe that in a socialist society, the nation will exist as an economic and political unit. It will in all probability assume the character of a cultural and linguistic unit only, because the territorial division of a socialist cultural zone, if practised at all, can be made only according to the needs of production and, furthermore, the question of such a division will naturally not be decided by individual nations alone and in possession of full sovereignty [as is required by "the right to self-determination"], but will be *determined jointly* by all the citizens concerned...."

Our Polish comrades like this last argument, on *joint* determination instead of *self-determination*, so much that they repeat it *three times* in their theses! Frequency of repetition, however, does not turn this Octobrist and reactionary argument into a Social-Democratic argument. All reactionaries and bourgeois grant to nations forcibly retained within the frontiers of a given state the right to "determine jointly"

their fate in a common parliament. Wilhelm II also gives the Belgians the right to "determine jointly" the fate of the German Empire in a common German parliament.

Our opponents try to evade precisely the point at issue. the only one that is up for discussion —the right to secede. This would be funny if it were not so tragic!

Our very first thesis said that the liberation of oppressed nations implies a dual transformation in the political sphere: (1) the full equality of nations. This is not disputed and applies only to what takes place within the state; (2) freedom of political separation.(B) This refers to the demarcation of state frontiers. This *only* is disputed. But it is precisely this that our opponents remain silent about. They do not want to think either about state frontiers or even about the stabs as such. This is a sort of "imperialist. Economism" like the old Economism of 1894-1902, which argued in this way: capitalism is victorious, *therefore* political questions are a waste of time. Imperialism is victorious, *therefore* political *questions* are a waste of time! Such an apolitical theory is extremely harmful to Marxism.

In his *Critique of the Gotha Programme*, Marx wrote: "Between capitalist and communist society lies the period of the revolutionary transformation of the one into the other. There corresponds to this also a political transition period in which the state can be nothing but the revolutionary dictatorship of the proletariat."¹⁰³ Up to now this truth has been indisputable for socialists and it includes the recognition of the fact that the *state* will exist until victorious socialism develops into full communism. Engels's dictum about the *withering away* of the state is well known. We deliberately stressed, in the first thesis, that democracy is a form of state that will also wither away when the state withers away. And until our opponents replace Marxism by some sort of "non-state" viewpoint their arguments will constitute one big mistake.

Instead of speaking about the state (which *means*, about the demarcation of its *frontiers!*), they speak of a "socialist cultural zone", i.e., they deliberately choose an expression that is indefinite in the sense that all state questions are obliterated! Thus we get a ridiculous tautology: if there is no state there can, of course, be no question of frontiers. In that case the *whole* democratic-political programme is unnecessary. Nor will there be any republic, when the state "withers away".

The German chauvinist Lensch, in the articles we mentioned in Thesis 5 (footnote), quoted an interesting passage from Engels's article "The Po and the Rhine". Amongst other things, Engels says in this article *that* in the course of historical development, which swallowed up a number of small and non-viable nations, the "frontiers of great and viable European nations" were being increasingly

determined by the "language and sympathies" of the population. Engels calls these frontiers "*natural*". Such was the case in the period of progressive capitalism in Europe, roughly from 1848 to 1871. Today, these democratically determined frontiers are more and more often being *broken down* by reactionary, imperialist capitalism. There is every sign that imperialism will leave its successor, socialism, a heritage of *less* democratic frontiers, a number: of *annexations* in Europe and ill other parts of the world. Is it to be supposed that victorious socialism, restoring and implementing full democracy all along the line, *will* refrain from *democratically* demarcating state frontiers and ignore the "sympathies" of the population? Those questions need only be stated to make it quite clear that our Polish colleagues are sliding down from Marxism towards imperialist Economism.

The old Economists, who made a caricature of Marxism, told the workers that "only the economic" was of importance to Marxists. The new Economists seem to think either that the democratic state of victorious socialism will exist without frontiers (like a "complex of sensations" without matter) or that frontiers will- be delineated "only" in accordance with the needs of production. In actual fact its frontiers will be delineated *democratically*, i.e., in accordance with the will and "sympathies" of the population. Capitalism rides roughshod over these sympathies, adding more obstacles to the rapprochement of nations. Socialism, by organising production *without* class oppression, by ensuring the well-being of *all* members of the state, gives *full play* to the "sympathies" of the population, thereby promoting and greatly accelerating the drawing together and fusion of the nations.

To give the reader a rest from the heavy and clumsy Economism let us quote the reasoning of a socialist writer who is outside our dispute. That writer is Otto Bauer, who also has his own "pet little point"—"cultural and national autonomy"—but who argues quite correctly on a large number of most important questions. For example, in Chapter 29 of his book *The National Question and Social-Democracy*, he was doubly right in noting the use of national ideology to cover up *imperialist* policies. In Chapter 30, "Socialism and the Principle of Nationality", he says:

"The socialist community will never be able to include whole nations within its make-up by the use of force. Imagine the masses of the people, enjoying the blessings of national culture, taking a full and active part in legislation and government, and, finally, supplied with arms—would it be possible to subordinate such a nation to the rule of an alien social organism by force? All state power rests on the force of arms. The present-day people's army, thanks to an ingenious mechanism, still constitutes a tool in the hands of a definite person, family or class exactly like the knightly and mercenary armies of the past. The army of the democratic community of a socialist society is nothing but the people armed, since it consists of highly cultured persons, working without compulsion in socialised

workshops and taking full part in all spheres of political life. In such conditions any possibility of alien rule disappears.”

This is true. It is *impossible* to abolish national (or any other political) oppression under capitalism, since this *requires* the abolition of classes, i.e., the introduction of socialism. But while being based on economics, socialism cannot be reduced to economics alone. A foundation —socialist production—is essential for the abolition of national oppression, but this foundation must *also* carry a democratically organised state, a democratic army, etc. By transforming capitalism into socialism the proletariat creates the *possibility* of abolishing national oppression; the possibility becomes *reality* "only" —"only"!—with the establishment of full democracy in all spheres, including the delineation of state frontiers in accordance with the "sympathies" of the population, including complete freedom to secede. And this, in turn, will serve as a basis for developing the *practical* elimination of even the slightest national friction and the least national mistrust, for an accelerated drawing together and fusion of nations that will be completed when the state *withers away*. This is the Marxist theory, the theory from which our Polish colleagues have mistakenly departed.

8. The Specific and the General in the Position of the Dutch and Polish Social-Democrat Internationalists

There is not the slightest doubt that the Dutch and Polish Marxists who oppose self-determination are among the best revolutionary and internationalist elements in international Social-Democracy. How *can* it be then that their theoretical arguments as we have seen, are a mass of errors? There is not a single correct general argument, nothing but imperialist Economism!

It is not at all due to the especially bad subjective qualities of the Dutch and Polish comrades but to the *specific* objective conditions in their countries. Both countries are: (1) small and helpless in the present-day "system" of great powers; (2) both are geographically situated between tremendously powerful imperialist plunderers engaged in the most bitter rivalry with each other (Britain and Germany; Germany and Russia); (3) in both there are terribly strong memories and traditions of the times when they *themselves* were great powers: Holland was once a colonial power greater than England, Poland was more cultured and was a stronger great power than Russia and Prussia; (4) to this day both retain their privileges consisting in the oppression of other peoples: the Dutch bourgeois owns the very wealthy Dutch East Indies; the Polish landed proprietor oppresses the Ukrainian and Byelorussian peasant; the Polish bourgeois, the Jew, etc.

The particularity comprised in the combination of these four points is not to be found in Ireland, Portugal (she was at one time annexed to Spain), Alsace, Norway, Finland, the Ukraine, the Lettish and Byelorussian territories or many others. And it is this very peculiarity that is the *real essence* of the matter! When the Dutch and Polish Social-Democrats reason against self-determination, using *general arguments*, i.e., those that concern imperialism in general, socialism in general, democracy in general, national oppression in general, we may truly say that they wallow in mistakes. But one has only to discard this obviously erroneous *shell* of general arguments and examine the *essence* of the question from the standpoint of the *specific* conditions obtaining in Holland and Poland for their particular position to become *comprehensible* and quite legitimate. It may be said, without any fear of sounding paradoxical, that when the Dutch and Polish Marxists battle against self-determination they do not say quite what they mean, or, to put it another way, mean quite what they say.(F)

We have already quoted one example in our theses. Gorter is against the self-determination of *his* own country but *in favour* of self-determination for the Dutch East Indies, oppressed as they are by "his" nation! Is it any wonder that we see in him a more sincere internationalist and a fellow-thinker who is closer to us than those who recognise self-determination as verbally and hypocritically as Kautsky in Germany, and Trotsky and Martov in Russia? The general and fundamental principles of Marxism undoubtedly imply the duty to struggle for the freedom to secede for nations that are oppressed by "one's own" nation, but they certainly do not require the independence specifically of Holland to be made a matter of paramount importance—Holland, which suffers most from her narrow, callous, selfish and stultifying seclusion: let the whole world burn, we stand aside from it all, "we" are satisfied with our old spoils and the rich "left-overs", the Indies, "we" are not concerned with anything else!

Here is another example. Karl Radek, a Polish Social-Democrat, who has done particularly great service by his determined struggle for internationalism in German Social-Democracy since the outbreak of war, made a Furious attack on self-determination in an article entitled "The Right of Nations to Self-Determination" (*Lichtstrahlen* — Left Radical monthly prohibited by the Prussian censor, edited by J. Borchardt—1915, December 5, Third Year of Publication, No. 3). He quotes, incidentally, *only* Dutch and Polish authorities in his support and propounds, amongst others, the argument that self-determination fosters the idea that "it is allegedly the duty of Social-Democrats to support any struggle for independence".

From the standpoint of *general* theory this argument is outrageous, because it is clearly illogical: first, no democratic demand can fail to give rise to abuses, unless

the specific is subordinated to the general; we are not obliged to support either "any" struggle for independence or "any" republican or anti-clerical movement. Secondly, no formula for the struggle against national oppression can fail to suffer from the *same* "shortcoming". Radek himself in *Berner Tagwacht* used the formula (1915, Issue 253): "Against old and new annexations." Any Polish nationalist will legitimately "deduce" from this formula: "Poland is an annexment, I am against annexations, *i.e.*, I am for the independence of Poland." Or I recall Rosa Luxemburg saying in an article written in 1908, that the formula: "against national oppression" was quite adequate. But any Polish nationalist would *say—and quite justly—that* annexation is *one* of the forms of national oppression, *consequently*, etc.

However, take Poland's *specific* conditions in place of these general arguments: her independence *today* is "impracticable" without wars or revolutions. To be in favour of an all-European war merely for the sake of restoring Poland is to be a nationalist of the worst sort, and to place the interests of a small number of Poles above those of the hundreds of millions of people who suffer from war. Such, indeed, are the "Fracy" (the Right wing of the P.S.P.) who are socialists only in word, and compared with whom the Polish Social-Democrats are a thousand times right. To raise the question of Poland's independence *today*, with the *existing* alignment of the *neighbouring* imperialist powers, is really to run after a will-o'-the-wisp, plunge into narrow-minded nationalism and forget the necessary premise of an all-European or at least a Russian and a German revolution. To have put forward in 1908-14 freedom of coalition in Russia as an independent slogan would also have meant running after a will-o'-the-wisp, and would, objectively, have helped the Stolypin labour party (now the Potresov-Gvozdyov party, which, incidentally, is the same thing). But it would be madness to remove freedom of coalition in general from the programme of Social-Democracy!

A third and, perhaps, the most important example. We read in the Polish theses (III, end of 82) that the idea of an independent Polish buffer state is opposed on the grounds that it is an "inane utopia of small impotent groups. Put into effect, it would mean the creation of a tiny fragment of a Polish state that would be a military colony of one or another group of Great Powers, a plaything of their military or economic interests, an area exploited by foreign capital, and a battlefield in future war". This is all very true when used as an argument *against* the slogan of Polish independence *today*, because even a revolution in Poland alone would change nothing and would only divert the attention of the masses in Poland from *the main thing*—the connection *between* their struggle and that of the Russian and German proletariat. It is not a paradox but a fact that today the Polish proletariat as such call help the cause of socialism and freedom, *including the freedom of Poland*, only by *joint* struggle with the proletariat of the neighbouring countries, against the

narrow Polish nationalists. The great historical service rendered by the Polish Social-Democrats in the struggle against the nationalists cannot possibly be denied.

But these same arguments, which are true from the standpoint of Poland's *specific* conditions in the *present* epoch, are manifestly untrue in the *general* form in which they are presented. So long as there are wars, Poland *will* always remain a battlefield in wars *between* Germany and Russia, but this is no argument against greater political liberty (and, therefore, against political independence) in the periods *between* wars. The same applies to the arguments about exploitation by foreign capital and Poland's role as a plaything of foreign interests. The Polish Social-Democrats cannot, at the moment, raise the slogan of Poland's independence, for the Poles, as proletarian internationalists, can do *nothing* about it without stooping, like the "Fracy", to humble servitude to *one* of the imperialist monarchies. But it is *not* indifferent to the Russian and German workers whether Poland is independent, they take part in annexing her (and that would mean educating the Russian and German workers and peasants in the basest turpitude and their consent to play the part of executioner of other peoples).

The situation is, indeed, bewildering, but there is a way out in which *all* participants would remain internationalists: the Russian and German Social-Democrats by demanding for Poland unconditional "*freedom to secede*"; the Polish Social-Democrats by working for the unity of the proletarian struggle in both small and big countries without putting forward the slogan of *Polish* independence for the given epoch or the given period.

9. Engels Letter to Kautsky

In his pamphlet *Socialism and Colonial Politics* (Berlin, 1907), Kautsky, who was then still a Marxist, published a letter written to him by Engels, dated September 12, 1882, which is extremely interesting in relation to the question under discussion. Here is the principal part of the letter.

"In my opinion the colonies proper, i.e., the countries occupied by a European population—Canada, the Cape, Australia—will all become independent; on the other hand, the countries inhabited by a native population, which are simply subjugated—India, Algeria, the Dutch, Portuguese and Spanish possessions—must be taken over for the time being by the proletariat and led as rapidly as possible towards independence. How this process will develop is difficult to say. India will perhaps, indeed very probably, make a revolution, and as a proletariat in process of self-emancipation cannot conduct any colonial wars, it *would* have to be allowed to run its course; it would not pass off without all sorts of destruction, of course, but that sort of thing is inseparable from all revolutions. The same might also take place

elsewhere, e.g., in Algeria and Egypt, and would certainly be the best thing *for us*. We shall have enough to do at home. Once Europe is reorganised, and North America, that will furnish such colossal power and such an example that the semi-civilised countries will of themselves follow in their wake; economic needs, if anything, will see to that. But as to what social and political phases these countries will then have to pass through before they likewise arrive at socialist organisation, I think we today can advance only rather idle hypotheses. One thing alone is certain: *the victorious proletariat can force no blessings of any kind upon any foreign nation without undermining its own victory by so doing*. Which of course by no means excludes defensive wars of various kinds...."

Engels does not at all suppose that the "economic" alone will directly remove all difficulties. An economic revolution will be a stimulus to *all* peoples to *strive* for socialism; but at the same time revolutions—against the socialist state—and wars *are* possible. Politics will inevitably adapt themselves to the economy, but not immediately or smoothly, not simply, not directly. Engels mentions as "certain" only one, absolutely internationalist, principle, and this he applies *to all* "foreign nations", i.e., not to colonial nations only: to force blessings upon them would mean to undermine the victory of the proletariat.

Just because the proletariat has carried out a social revolution it will not become holy and immune from errors and weaknesses. But it will be inevitably led to realise this truth by possible errors (and selfish interest—attempts to saddle *others*).

We of the Zimmerwald Left all hold the same conviction as Kautsky, for example, held before his desertion of Marxism for the defence of chauvinism in 1914, namely, that the socialist revolution is quite possible *in the very near future* —"any day", as Kautsky himself once put it. National antipathies will not disappear so quickly: the hatred—and perfectly legitimate hatred—of an oppressed nation for its oppressor *will last* for a while; it will evaporate only *after* the victory of socialism and *after* the final establishment of completely democratic relations between nations. If we are to be faithful to socialism we must even now educate the masses in the spirit of internationalism, which is impossible in oppressor nations without advocating freedom of secession for oppressed nations.

11. Conclusion

Contrary to the erroneous assertions of the Polish Social- Democrats, the demand for the self-determination of nations has played no less a role in our Party agitation than, for example, the arming of the people, the separation of the church from the state, the election of civil servants by the gene pie and other points the philistines

have called "utopian". the contrary, the strengthening of the national movements after 1905 naturally prompted more vigorous agitation by our Party, including a number of articles in 1912-13, and the resolution of our Party in 1913 giving a precise "anti-Kautskian" definition (i.e., one that does not tolerate purely verbal "recognition") of the *content* of the point.

It will not do to overlook a fact which was revealed at that early date: opportunists of various nationalities, the Ukrainian Yorkevich, the Bundist Liebman, Scrnkovsky, the Russian myrmidon of Potresov and Co., all spoke *in favour* of Rosa Luxemburg's arguments *against* self-determination! What for Rosa Luxemburg, the Polish Social-Democrat, had been merely an incorrect theoretical generalisation of tile *specific* conditions of the movement in Poland, became *objective* opportunist support for Great-Russian imperialism when actually' applied to more extensive circumstances, to conditions obtaining in a big state instead of a small one, when applied on an international scale instead of tile narrow Polish scale. The history of *trends* in political thought (as distinct from the views of individuals) has proved the correctness of our programme.

Outspoken social-imperialists, such as Lensch still rail both against self-determination and the renunciation of annexations. As for the Kautskyites, they hypocritically recognise self-determination—Trotsky and Martov are going *the same way* here in Russia. *Both of them*, like Kautsky, say they favour self-determination. What happens in practice? Take Trotsky's articles "The Nation and the Economy" in *Nashe Slovo*, and you will find his usual eclecticism: on the one hand, the economy unites nations and, on the other, national oppression divides them. The conclusion? The conclusion is that the prevailing hypocrisy remains unexposed, agitation is dull and does not touch upon what is most important, basic, significant and closely connected with practice—one's attitude *to* the nation that is oppressed by "one's own" nation. Martov and other secretaries abroad simply preferred to forgot—a profitable lapse of memory!—the struggle of their colleague and fellow-member Semkovsky against self-determination, In the legal press of the Gvozdyovites (*Nash Golos*) Martov spoke *in favour* of self-determination, pointing out the indisputable truth that during the imperialist war it does not *yet* imply participation, etc., but evading the main thing—he also evades it in the illegal, free press!—which is that *even in peace time* Russia set a world record for the oppression of nations with an imperialism *that* is much more crude, medieval, Economically backward and militarily bureaucratic, The Russian Social-Democrat who "recognises" tile self-determination of nations more or less as it is recognised by Messrs. Plekhanov, Potresov and Co., that is, without *bothering* to fight for the freedom of secession for nations oppressed by tsarism, is *in fact* an imperialist and a lackey of tsarism.

No matter what the subjective "good" intentions of Trotsky and Martov may be, their evasiveness objectively *supports* Russian social-imperialism. The epoch of imperialism has turned all the "great" powers into the oppressors of a number of nations, and the development of imperialism will inevitably lead to a more definite division of trends in this question in international Social-Democracy as well.

Footnotes

(A) See *The Socialist Revolution and the Rights of Nations to Self Determination*

(B) See *ibid*

(C) Karl Radek formulated this as "against old and new annexations" in one of his articles in *Berner Tagwacht*.

(D) See "The War and Russian Social-Democracy," "The Conference of the R.S.D.L.P. Groups Abroad" — Volume 21 of the *Collected Works*

(E) Ryazanov has published in Grunberg's *Archives of the History of Socialism* (1916.

I) a very interesting article by Engels on the Polish question, written in 1866. Engels emphasises that the proletariat must recognize the political independence and "self-determination" ("right to dispose itself" [These words are in English in the original.]) of the great, major nations of Europe, and points to the absurdity of the "principle of nationalities" (particularly in its Bonapartist application), i.e., of placing any small nation on the same level as these big ones "And as is to Russia," says Engels, "she could only be mentioned as the detainer of an immense amount of stolen property [i.e., oppressed nations] which would have been disgorged on the day of reckoning."¹⁰⁶ Both Bonapartism and tsarism *utilise* the small-nation movements for *their own* benefit, *against* European democracy.

(F) Let us recall that *all* the Polish Social-Democrats *recognised* self-determination *in general* in their Zimmerwald declaration, although their formulation was slightly different.

From: <http://www.marxists.org/archive/lenin/works/1916/jul/x01.htm>

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